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Image# 201607209020730827

FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

FORM 3X F	For Other Than An Autho	orized Committee	Office	Use Only
1. NAME OF COMMITTEE (in full)	TYPE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
Securities Industry and	I Financial Markets As	sociation Political Acti	on Committee	
ADDRESS (number and street)	1101 New York Avenue, NW			
Check if different than previously reported. (ACC)	8th Floor Washington		DC 2000	05
2. FEC IDENTIFICATION NU	JMBER ▼ CITY	^	STATE A	ZIP CODE ▲
C C00431312	3. IS	THIS NEW (N) OR	AMENDEI (A))
4. TYPE OF REPORT (Choose One) (a) Quarterly Reports: April 15 Quarterly Report (Q July 15 Quarterly Report (Q October 15 Quarterly Report (Q January 31 Year-End Report (Y July 31 Mid-Year Report (Non-election Year Only) (MY) Termination Report (TER)	Report Due On: Mar 2 Apr 20 (c) 12-Day PRE-Election Report for the: (d) 30-Day	General (30G)		(Non-Election Year Only) Dec 20 (M12) (Non-Election Year Only)
5. Covering Period 06		through 06		016
I certify that I have examined the Type or Print Name of Treasurer	•	ny knowledge and belief it is t	rue, correct and comp	lete.
Signature of Treasurer Mr. K	Kenneth E. Bentsen Jr.	[Electronically Filed]		20 2016
NOTE: Submission of false, errone	eous, or incomplete information	may subject the person signing	this Report to the pena	Ities of 2 U.S.C. §437g.
Office Use Only			FE	C FORM 3X Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)
Page 2

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

2016 06 30 2016 Report Covering the Period: 06 From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 95134.17 January 1, 2016 (b) Cash on Hand at 67443.68 Beginning of Reporting Period..... 29551.03 203413.49 (c) Total Receipts (from Line 19) (d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 298547.66 96994.71 6(a) and 6(c) for Column B)..... 29166.43 230719.38 Total Disbursements (from Line 31)...... Cash on Hand at Close of 8. Reporting Period 67828.28 67828.28 (subtract Line 7 from Line 6(d))..... Debts and Obligations Owed TO the Committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations Owed BY the Committee (Itemize all on 0.00 Schedule C and/or Schedule D)

×

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 06/2004)

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

I. Receipts Ins (other than loans) From: Ituals/Persons Other Political Committees Instemized (use Schedule A) Instemized	COLUMN A Total This Period 14779.54 105.06 14884.60 0.00 14500.00	COLUMN B Calendar Year-to-Date 136935.35 3899.18 140834.53 0.00 61000.00
luals/Persons Other Political Committees mized (use Schedule A) DTAL (add nes 11(a)(i) and (ii) Political Committees as PACs) Contributions (add Lines ii), (b), and (c)) (Carry	105.06 14884.60 0.00	3899.18 140834.53 0.00
Political Committees mized (use Schedule A) DTAL (add nes 11(a)(i) and (ii) Political Committees as PACs) Contributions (add Lines ii), (b), and (c)) (Carry	105.06 14884.60 0.00	3899.18 140834.53 0.00
mized (use Schedule A) DTAL (add nes 11(a)(i) and (ii) al Party Committees Political Committees as PACs) Contributions (add Lines ii), (b), and (c)) (Carry	105.06 14884.60 0.00	3899.18 140834.53 0.00
al Party Committees	105.06 14884.60 0.00	3899.18 140834.53 0.00
DTAL (add nes 11(a)(i) and (ii)	14884.60 0.00	140834.53
al Party Committees Political Committees as PACs)	0.00	0.00
Political Committees as PACs) Contributions (add Lines ii), (b), and (c)) (Carry		
as PACs) Contributions (add Lines ii), (b), and (c)) (Carry	14500.00	61000.00
ii), (b), and (c)) (Carry		
to Line 33, page 5)	29384.60	201834.53
From Affiliated/Other	0.00	0.00
mittees	0.00	0.00
Received	0.00	0.00
yments Received	0.00	0.00
	7	7 7 7
Rebates, etc.)		
als to Line 37, page 5)	166.43	1578.96
	,	,
	0.00	0.00
The state of the s		
	0.00	0.00
		-
	0.00	
Schedule H3)	0.00	0.00
Funds (from Schedule H5)	0.00	0.00
225 (2533 110)	7	
ransfers (add 18(a) and 18(b))	0.00	0.00
	Ayments Received	Agyments Received

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements		COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Exp		Iotai IIII3 FEIIOU	Calcilual Teal-10-Date
	Federal/Non-Federal rom Schedule H4)		
	eral Share	0.00	0.00
` '	Federal Share	0.00	0.00
	deral Operating	166.42	4740.00
	ires	166.43	1719.38
	erating Expenditures	166.43	1719.38
	a)(i), (a)(ii), and (b))▶ Iffiliated/Other Party	100.43	1710.00
	illilated/Other Farty	0.00	0.00
23. Contributions	to	7 7	
Federal Cand	dates/Committees itical Committees	29000.00	229000.00
4. Independent E			
(use Schedule	e E)	0.00	0.00
Coordinated FU.S.C. 844	Party Expenditures		
(use Schedule	1a(d))	0.00	0.00
	_	2.22	
Loan Repaym	ents Made	0.00	0.00
		0.00	0.00
7. Loans Made 8. Refunds of Co	ontributions To:	0.00	0.00
(a) Individual	s/Persons Other	0.00	0.00
inan Pol	itical Committees	0.00	0.00
(b) Political F	Party Committees	0.00	0.00
	litical Committees	3100	
(-)	PACs)	0.00	0.00
(7	7 7
(d) Total Cor	tribution Refunds		
(add Line	s 28(a), (b), and (c))▶	0.00	0.00
Other Disburs	ements	0.00	0.00
	on Activity (2 U.S.C. §431(20))		
` '	Federal Election Activity		
	nedule H6)	0.00	0.00
(i) Federa	di Gilale		
(ii) "I evin	" Share	0.00	0.00
. ,	Election Activity Paid Entirely	7	
	Federal Funds	0.00	0.00
	eral Election Activity (add		
	(a)(i), 30(a)(ii) and 30(b))▶	0.00	0.00
	_	,	,
	ments (add Lines 21(c), 22,		
23, 24, 25, 26	5, 27, 28(d), 29 and 30(c))	29166.43	230719.38
	Disbursements		
	21(a)(ii) and Line 30(a)(ii)	20122 12	
from Line 31)	▶	29166.43	230719.38

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	29384.60	201834.53
4. Total Contribution Refunds (from Line 28(d))	0.00	0.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	29384.60	201834.53
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))▶	166.43	1719.38
7. Offsets to Operating Expenditures (from Line 15, page 3)	166.43	1578.96
Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	140.42

	FOR LINE	NUMBER	: PAGI	E 6	OF	30
Use separate schedule(s) for each category of the	(check only	· ′				
Detailed Summary Page	11a	11b	11c	12	Г	717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions

or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	e name and address of any political committee to	solicit contributions from such committee.
Full Name (Last, First, Middle Initial) Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005 C Occupation President & CEO Aggregate Year-to-Date ▼ 2500.55	Date of Receipt 06 08 2016 Transaction ID: 20160602165257-1000 Amount of Each Receipt this Period 192.35 Memo Item
Full Name (Last, First, Middle Initial) Kenneth E. Bentsen Jr. Mailing Address 1101 New York Ave NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005 C Occupation President & CEO Aggregate Year-to-Date ▼	Date of Receipt M M M
Full Name (Last, First, Middle Initial) Lisa J. Bleier Mailing Address 1101 New York Ave NW 8th Floor City Washington FEC ID number of contributing federal political committee. Name of Employer Securities Industry and Financial Mark Receipt For: Primary General Other (specify)	State Zip Code DC 20005 C Occupation MD, Fed Gov't Rel & AGC Aggregate Year-to-Date ▼ 249.99	Date of Receipt M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number	<u>*</u>	403.93

Other (specify)

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOF	R LINE	NU	IMBER	:	PAGE	7	OF	30
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c	12		
,,		13		14		15	16		7 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Lisa J. Bleier Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City State Zip Code Transaction ID: 20160620113857-1001 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 19.23 federal political committee. Memo Item Name of Employer Occupation MD, Fed Gov't Rel & AGC Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 249.99 Other (specify) Full Name (Last, First, Middle Initial) **B.** Ananias Blocker Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 06 80 2016 City State Zip Code Transaction ID: 20160602165257-1002 Washington DC 20005 Amount of Each Receipt this Period FEC ID number of contributing 300.00 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark Exec VP, Pub Pol & Advoc Receipt For: Aggregate Year-to-Date ▼ Primary General

Full Name (Last, First, Middle Initial) Ananias Blocker		Date of Receipt
Mailing Address 1101 New York Ave NW 8th Floor		06 22 2016
City	State Zip Code	Transaction ID : 20160620113857-1002
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	300.00
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	Exec VP, Pub Pol & Advoc	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	3900.00	

SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

3900.00

C.

619.23

SCHEDULE A (FEC Form 3X) 17

FOR LINE NUMBER: PAGE 8 OF 30

ITEMIZED RECEIPTS	for each category of the Detailed Summary Page	CCHECK ONLY ONE)
Any information copied from such Reports and Stat or for commercial purposes, other than using the na		erson for the purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Financial	Markets Association Political A	Action Committee
Securities Industry and Financial Mark	State Zip Code NY 10271 C Description MD, Dir of Research Aggregate Year-to-Date ▼ 1249.95	Date of Receipt M
. ,	State Zip Code NY 10271 C Occupation	Date of Receipt M M M / P P P / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Receipt For: Primary General Other (specify) ▼	MD, Dir of Research Aggregate Year-to-Date ▼ 1249.95	
Securities Industry and Financial Mark	State Zip Code DC 20005 C Decupation MD, Associate Gen Counsel Aggregate Year-to-Date ▼ 500.50	Date of Receipt M M M
SUBTOTAL of Receipts This Page (optional) TOTAL This Period (last page this line number on	·	230.80

	FOR LINE NUMBER:	PAGE	9 OF	30
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b 1	11c	12	
	13 14 1	15	16	717

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Kimberly H. Chamberlain Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 2016 City State Zip Code Transaction ID: 20160620113857-1004 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation MD, Associate Gen Counsel Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 500.50 Other (specify) Full Name (Last, First, Middle Initial) B. Cheryl L. Crispen Date of Receipt Mailing Address 1101 New York Ave NW 8th Floor 06 80 2016 City State Zip Code Transaction ID: 20160602165257-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark EVP, Communication & Mktg Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1249.95

Full Name (Last, First, Middle Initial) c. Cheryl L. Crispen Date of Receipt Mailing Address 1101 New York Ave NW 06 22 2016 8th Floor City Zip Code State Transaction ID: 20160620113857-1006 DC Washington 20005 Amount of Each Receipt this Period FEC ID number of contributing С 96.15 federal political committee. Memo Item Name of Employer Occupation EVP, Communication & Mktg Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.95

SUBTOTAL of Receipts This Page (optional)			7	I	Ι	7	Ξ	230.8	30	
TOTAL This Period (last page this line number only)	Ξ	_	7	Ξ		7				

Other (specify)

	FOR LINE NUMBER:	PAGE	10 OF 30
Use separate schedule(s)	(check only one)		
for each category of the Detailed Summary Page	X 11a 11b	11c]12
	13 14	15	16 17

	statements may not be sold or used by any persole name and address of any political committee to	
NAME OF COMMITTEE (In Full)		
, ,	al Markets Association Political Act	tion Committee
Full Name (Last, First, Middle Initial) A. Bradley G. Edgell		Date of Receipt
Mailing Address 1101 New York Ave NW		M = M / D = D / Y = Y = Y
8th Floor		06 08 2016
City	State Zip Code	Transaction ID: 20160602165257-1007
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, Fed Gov't Relations	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	500.50	
Full Name (Last, First, Middle Initial) Bradley G. Edgell		Date of Receipt
Mailing Address 1101 New York Ave NW 8th Floor		06 22 _2016 _
City	State Zip Code	Transaction ID : 20160620113857-1007
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing		
federal political committee.	C	38.50
·	Occupation	Memo Item
Name of Employer Securities Industry and Financial Mark	Occupation MD Fod Coult Polations	—
Receipt For:	MD, Fed Gov't Relations	
Primary General	Aggregate Year-to-Date ▼	
Other (specify)	500.50	
	33300	
Full Name (Last, First, Middle Initial) Tracy H. Eichler		Date of Receipt
Mailing Address 120 Broadway 35th Floor		06 08 _2016 _
City	State Zip Code	Transaction ID : 20160602165257-1008
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	43.48
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark		
Receipt For:		
Primary General Other (specify) ▼	492.49	
SUBTOTAL of Receipts This Page (optional)	>	120.48
TOTAL This Period (last page this line number	only)	7

	FOR	LINE	NU	MBER	:	PAGE	1	1 OI	=	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
		13		14		15		16		17

		name and address of any political committee to	
\	AME OF COMMITTEE (In Full)		
/	•	Markets Association Political Act	ion Committee
	ull Name (Last, First, Middle Initial) Tracy H. Eichler		Date of Receipt
M	lailing Address 120 Broadway 35th Floor		06 22 _ 2016 _
Ci	ity 35th Floor	State Zip Code	Transaction ID : 20160620113857-1008
_N	New York	NY 10271	Amount of Each Receipt this Period
	EC ID number of contributing ederal political committee.	C	43.48
N	ame of Employer	Occupation	Memo Item
S	ecurities Industry and Financial Mark	MD, Private Client Group	
_	eceipt For:	Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	492.49	
	ull Name (Last, First, Middle Initial) Lisa Fues		Date of Receipt
M	lailing Address 1101 New York Ave NW 8th Floor		06 08 _2016 _
Ci	ity	State Zip Code	Transaction ID : 20160602165257-1010
	Vashington	DC 20005	Amount of Each Receipt this Period
	EC ID number of contributing ederal political committee.	C	19.23
	ame of Employer	Occupation	Memo Item
	ecurities Industry and Financial Mark	MD, CEO's Office	
R	eceipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 249.99	
	ull Name (Last, First, Middle Initial) Lisa Fues		Date of Receipt
	lailing Address 1101 New York Ave NW 8th Floor		06 22 2016
	ity	State Zip Code	Transaction ID : 20160620113857-1010
	Nashington	DC 20005	Amount of Each Receipt this Period
	EC ID number of contributing ederal political committee.	C	19.23
N	ame of Employer	Occupation	Memo Item
	Securities Industry and Financial Mark		
	eceipt For:	MD, CEO's Office Aggregate Year-to-Date ▼	
	Primary General Other (specify) ▼	249.99	
SUE	BTOTAL of Receipts This Page (optional)		81.94
		<u>-</u>	
101	TAL This Period (last page this line number of	nly)	

FOR LINE NUMBER: PAGE 12 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page

14 13 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Marin Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 08 2016 City State Zip Code Transaction ID: 20160602165257-1011 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation MD, Associate Gen Counsel Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 1249.95 Other (specify) Full Name (Last, First, Middle Initial) B. Marin Gibson Date of Receipt Mailing Address 120 Broadway 35th Floor 06 22 2016 City State Zip Code Transaction ID: 20160620113857-1011 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 96.15 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Associate Gen Counsel Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 1249.95 Full Name (Last, First, Middle Initial) c. Christopher B. Killian Date of Receipt Mailing Address 120 Broadway 80 35th Floor 2016 City Zip Code State Transaction ID: 20160602165257-1013 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 38.50 federal political committee. Memo Item Name of Employer Occupation MD, Head of Securitizaton Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 500.50 Other (specify) 230.80 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

Receipt For:

В.

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS

	FOR LINE NUMBER:	PAGE	13 OF	30
Use separate schedule(s)	(check only one)			
for each category of the Detailed Summary Page	X 11a 11b	11c	12	
	13 14	15	16	17

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Aggregate Year-to-Date ▼

Primary General Other (specify) ▼	500.50	
Full Name (Last, First, Middle Initial) Andrew M. Kistler Mailing Address 417 Washington Way		Date of Receipt 06 04 2016
City Pittsburgh	State Zip Code PA 15243-1024	Transaction ID : EA1314D5B6D34805B913 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Hefren-Tillotson Inc. Receipt For: ☐ Primary ☐ General ☐ Other (specify) ▼	Occupation Honorary Chairman Aggregate Year-to-Date ▼ 1000.00	Memo Item
Full Name (Last First Middle Initial)		

Full Name (Last, First, Middle Initial) David B. Krasner Date of Receipt Mailing Address 120 Broadway 2016 80 06 35th Floor Zip Code City State Transaction ID: 20160602165257-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing C 192.35 federal political committee. Memo Item Name of Employer Occupation Chief Fin/Admin Officer Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.55

SUBTOTAL of Receipts This Page (optional)			7	Ξ		7	Ī	123	30.85	
TOTAL This Period (last page this line number only)		Ī	7	_	_	7	_	_	-	

Other (specify)

FOR LINE NUMBER: PAGE 14 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) David B. Krasner Date of Receipt Mailing Address 120 Broadway 35th Floor 2016 City Zip Code State Transaction ID: 20160620113857-1014 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 192.35 federal political committee. Memo Item Name of Employer Occupation Chief Fin/Admin Officer Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.55 Other (specify) Full Name (Last, First, Middle Initial) B. Nancy J. Lancia Date of Receipt Mailing Address 120 Broadway 35th Floor 06 80 2016 City State Zip Code Transaction ID: 20160602165257-1015 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 38.50 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, State Gov Relations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 500,50 Full Name (Last, First, Middle Initial) c. Nancy J. Lancia Date of Receipt Mailing Address 120 Broadway 35th Floor 22 2016 City Zip Code State Transaction ID: 20160620113857-1015 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 38.50 federal political committee. Memo Item Name of Employer Occupation MD, State Gov Relations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 500.50 Other (specify) 269.35 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	FOF	R LINE	NU	MBER	:	PAGE	_ 1	15 O	F 3	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	X	11a		11b		11c		12		
,g		13		14		15		16	\Box	17

Any information copied from such Reports and St or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
Securities Industry and Financia	I Markets Association Political Act	ion Committee
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Ave NW 8th Floor		06 08 2016
City	State Zip Code	Transaction ID : 20160602165257-1016
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, Associate Gen Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	500.50	
Full Name (Last, First, Middle Initial) Theodore Lazo		Date of Receipt
Mailing Address 1101 New York Ave NW		M = M / D = D / Y = Y = Y
8th Floor City	State Zip Code	06 22 2016
Washington	DC 20005	Transaction ID: 20160620113857-1016
	20000	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, Associate Gen Counsel	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	30 0	
Other (specify) ▼	500.50	
Full Name (Last, First, Middle Initial) Laura A. Martin		Date of Receipt
Mailing Address 120 Broadway		M = M / D = D / Y = Y = Y
35th Floor		06 08 2016
City	State Zip Code	Transaction ID: 20160602165257-1017
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	38.50
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, AGC AMG	
Receipt For:		
Primary General		
Other (specify) ▼	500.50	
SUBTOTAL of Receipts This Page (optional)		115.50
TOTAL This Period (last page this line number of	only)	

SCHEDULE A (FEC Form 3X)

FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one)

IEMIZED RECEIP	10		for each category of the Detailed Summary Page	X 11a 11b 11c 12 13 14 15 16				
or for commercial purposes,	other than using the r			erson for the purpose of soliciting contributions e to solicit contributions from such committee.				
Securities Indust		Markets	Association Political	Action Committee				
Full Name (Last, First, N. Laura A. Martin	liddle Initial)			Date of Receipt				
Mailing Address 120 Bro 35th Flo	•	State	Zip Code	06 22 2016 Transaction ID : 20160620113857-1017				
New York		NY	10271	Amount of Each Receipt this Period				
FEC ID number of contri federal political committe	•	С		38.50				
Name of Employer Securities Industry and Fi		Occupation MD, AGC AM	G	Memo Item				
Receipt For: Primary Other (specify) ▼	General	Aggregate Ye	ear-to-Date ▼ 500.50					
Full Name (Last, First, M. Carter K. McDowel				Date of Receipt				
Mailing Address 1101 Ne		06 08 2016						
City Washington		State DC	Zip Code 20005	Transaction ID : 20160602165257-1018 Amount of Each Receipt this Period				
FEC ID number of contributing federal political committee.				288.46				
Name of Employer Securities Industry and Fire	agnoial Mark	Occupation MD, Associate	e Gen Counsel	Memo Item				
Receipt For: Primary Other (specify) ▼	ieneral	Aggregate Ye	ear-to-Date ▼ 3749.98]				
Full Name (Last, First, M				Date of Receipt				
Mailing Address 1101 Ne				06 22 2016				
City Washington		State DC	Zip Code 20005	Transaction ID: 20160620113857-1018 Amount of Each Receipt this Period				
FEC ID number of contri federal political committe	· ·	С		288.46				
Name of Employer		Memo Item						
Securities Industry and Fi	nancial Mark	MD, Associat	e Gen Counsel					
	General	Aggregate Ye	ear-to-Date ▼ 3749.98	1				
Other (specify) ▼			7					
SUBTOTAL of Receipts Th	nis Page (optional)	·····)	615.42				

	FOR	LINE	NU	MBER	:	PAGE	1	17 OF	30
Use separate schedule(s)	(che	ck only	or	ne)					
for each category of the Detailed Summary Page	X	11a		11b		11c		12	
		13		14		15		16	17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Craig R McRoberts Date of Receipt Mailing Address 308 7th Ave 06 2016 City Zip Code State Transaction ID: 3BBC56ADA89340D19151 PΑ Pittsburgh 15222-3909 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer Occupation Hefren-Tillotson, Inc. **Executive Vice President** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. John Meegan Date of Receipt Mailing Address 308 7th Ave 06 09 2016 City State Zip Code Transaction ID: 4F4ABD458FB04D3DA85F Pittsburgh PA 15222-3909 Amount of Each Receipt this Period FEC ID number of contributing 1000.00 federal political committee. Memo Item Name of Employer Occupation Hefren-Tillotson, Inc. COO Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name (Last, First, Middle Initial) c. James G Meredith Date of Receipt Mailing Address 308 7th Ave 02 2016 City State Zip Code Transaction ID: 6022DE4A69F04AA495D0 PΑ Pittsburgh 15222-3909 Amount of Each Receipt this Period FEC ID number of contributing C 2500.00 federal political committee. Memo Item Name of Employer Occupation **Executive Vice President** Hefren-Tillotson, Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 2500.00 Other (specify) 4000.00 SUBTOTAL of Receipts This Page (optional).....

TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 18 OF 30 Use separate schedule(s) (check only one) for each category of the X 11a 11b 12 11c Detailed Summary Page 14 13 15 16

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets Association Political Action Committee Full Name (Last, First, Middle Initial) Steffen Parratt Date of Receipt Mailing Address 11 Sarah Dr 20 2016 City Zip Code State Transaction ID: 75C1C9CFB80C4AB3A0BC Princeton Junction NJ 08550-1244 Amount of Each Receipt this Period FEC ID number of contributing 500.00 federal political committee. Memo Item Name of Employer Occupation KCG Holdings Inc. Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name (Last, First, Middle Initial) B. Thomas F. Price Date of Receipt Mailing Address 120 Broadway 35th Floor 06 80 2016 City State Zip Code Transaction ID: 20160602165257-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing 43.48 federal political committee. Memo Item Name of Employer Occupation Securities Industry and Financial Mark MD, Tech & Operations Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 550,30 Full Name (Last, First, Middle Initial) Thomas F. Price Date of Receipt Mailing Address 120 Broadway 35th Floor 22 2016 City Zip Code State Transaction ID: 20160620113857-1020 NY New York 10271 Amount of Each Receipt this Period FEC ID number of contributing С 43.48 federal political committee. Memo Item Name of Employer Occupation MD, Tech & Operations Securities Industry and Financial Mark Receipt For: Aggregate Year-to-Date ▼ Primary General 550.30 Other (specify) 586.96 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

	F	ЭR	LINE	NU	MBER	PAGE	_ 1	19 OF	30	
Use separate schedule(s)	(c	he	ck only	or	ne)					
for each category of the Detailed Summary Page		X	11a		11b		11c		12	
			13		14		15		16	17

Any information copied from such Reports and St or for commercial purposes, other than using the	, , , , ,	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financia	Il Markets Association Political Act	ion Committee
Full Name (Last, First, Middle Initial) A. Suzanne Shank		Date of Receipt
Mailing Address 660 Woodward Ave Ste 2450		06 15 2016
City	State Zip Code	Transaction ID: 6EC6F549C9DF4BB79109
Detroit	MI 48226-3528	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	5000.00
Name of Employer	Occupation	Memo Item
Siebert Brandford Shank	CEO	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	5000.00	
Full Name (Last, First, Middle Initial) Thomas P. Tierney		Date of Receipt
Mailing Address 120 Broadway	M = M / D = D / Y = Y = Y	
35th Floor City	State Zip Code	06 08 2016
City New York	·	Transaction ID : 20160602165257-1022
	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	21.74
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, Member Engagement	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	00 0	
Other (specify) ▼	272.58	
Full Name (Last, First, Middle Initial) Thomas P. Tierney		Date of Receipt
Mailing Address 120 Broadway		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
35th Floor City	State Zip Code	06 22 2016
New York	NY 10271	Transaction ID: 20160620113857-1022 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	21.74
Name of Employer	Occupation	Memo Item
Securities Industry and Financial Mark	MD, Member Engagement	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	272.58	
Other (specify)	212.38	
SUBTOTAL of Receipts This Page (optional)		5043.48
TOTAL This Period (last page this line number of	only)	

	FOF	R LINE	NU	IMBER	:	PAGE	2	20 O	F	30
Use separate schedule(s)	(che	ck only	or	ne)						
for each category of the Detailed Summary Page	×	11a		11b		11c		12		
,g.		13		14		15		16		17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

NAME OF COMMITTEE (In Full) Securities Industry and Fina	ncial Markets Association Political Ac	ction Committee
Full Name (Last, First, Middle Initial) A. Gregory Tusar		Date of Receipt
Mailing Address 545 Washington Blvd		06 20 2016
City Jersey City	State Zip Code NJ 07310-1607	Transaction ID : 8F45F9704FCA4E24BD24 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer KCG Holdings, Inc.	Occupation Head of Global Execution Services and	- Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	
Full Name (Last, First, Middle Initial) 3. Mailing Address		Date of Receipt
City	State Zip Code	
FEC ID number of contributing federal political committee.	С	Amount of Each Receipt this Period
Name of Employer	Occupation	- Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	
Name of Employer	Occupation	- Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
SUBTOTAL of Receipts This Page (option	al)	1000.00
TOTAL This Period (last page this line nu	mber only)	14779.54

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SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS	· [[Jse separate schedule(s) or each category of the	FOR LINE (check only	NUMBER: PAGE 21 OF 30 y one) 11b X 11c 12
		Detailed Summary Page	13	14 15 16 1
ny information copied from such Reports and r for commercial purposes, other than using	d Statements may not the name and address	ot be sold or used by any peess of any political committee	erson for the	purpose of soliciting contributions
NAME OF COMMITTEE (In Full) Securities Industry and Finan				
Full Name (Last, First, Middle Initial) Lpl Financial Llc Political Action Co	mmittee (LPL PA	(C)	Date of	Receipt
Mailing Address 75 State Street 24th Floor			06	/ D D / Y Y Y Y Y Y Z Y Z 2 2 2016
City Boston	State MA	Zip Code 02109		action ID: 8A7E7903C6DC4FBA9E of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00486	5217		5000.00
Name of Employer	Occupation		Mei	mo ltem
Receipt For: Primary General	Aggregate Yea	r-to-Date ▼		
Other (specify) ▼		5000.00		
Full Name (Last, First, Middle Initial) Nomura Holding America Inc, Pol	litical Action Con	nmittee (NOMURAPA)	Date of	Receipt
Mailing Address 1101 Pennsylvania Avenue Suite 515	e, NW		M M M 06	20 2016
City Washington	State DC	Zip Code 20004		action ID: 034B2B439C774FCB9B t of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00491	951		2500.00
Name of Employer	Occupation		— Me	mo Item
Receipt For: Primary General	Aggregate Yea	r-to-Date ▼		
Other (specify) ▼	,	2500.00		
Full Name (Last, First, Middle Initial) Principal Life Insurance Compa	ny Political Acti	on Committee	Date of	· Receipt
Mailing Address 711 High Street Government Relations			M - M 06	21 _ 2016 _
City Des Moines	State IA	Zip Code 50392-0002		saction ID : A7E149D22BE044E3B3 t of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00128	3918	741104111	2000.00
Name of Employer	Occupation		Me Me	mo Item
Receipt For: Primary General	Aggregate Yea	r-to-Date ▼		
Other (specify) ▼		2000.00		
SUBTOTAL of Receipts This Page (optional)				9500.00
FOTAL This Period (last page this line numb	per only)			

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SCHEDULE A (FEC Form 3X ITEMIZED RECEIPTS	()	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 22 OF 30 (check only one) 11a 11b X 11c 12 13 14 15 16 17
or for commercial purposes, other than using			erson for the purpose of soliciting contributions e to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Finar	ncial Market	s Association Political	Action Committee
Full Name (Last, First, Middle Initial) A. Td Ameritrade Holding Corporation	n PAC		Date of Receipt
Mailing Address 200 S. 108th Avenue			06 27 _ 2016 _
City Omaha	State NE	Zip Code 68154	Transaction ID : 2C4FE707723C485288DA Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C co	0396887	5000.00
Name of Employer	Occupation	1	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼ 5000.00]
Full Name (Last, First, Middle Initial) B.			Date of Receipt
Mailing Address			M = M / D = D / Y = Y = Y
City	State	Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		
Name of Employer	Occupation	n	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼	
Full Name (Last, First, Middle Initial)			Date of Receipt
Mailing Address			M = M / D = D / Y = Y = Y
City	State	Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		
Name of Employer	Occupation	1	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate	Year-to-Date ▼]
SUBTOTAL of Receipts This Page (optional)		5000.00

TOTAL This Period (last page this line number only).....

14500.00

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SCHEDULE A (FEC Form 3X)	Use separate schedule	FOR LINE NUMBER: PAGE 23 OF 30 (check only one)
ITEMIZED RECEIPTS	for each category of th Detailed Summary Pag	(c)
or for commercial purposes, other than using	and Statements may not be sold or used by g the name and address of any political con	any person for the purpose of soliciting contributions nmittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Fina	ncial Markets Association Polit	ical Action Committee
Full Name (Last, First, Middle Initial) A. SIFMA		Date of Receipt
Mailing Address 1101 New York Avenue, 8th Floor	NW	06 28 _ 2016 _
City	State Zip Code	Transaction ID : AF951B411725487F9FCC
Washington	DC 20005	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	166.43
Name of Employer	Occupation	Reimbursement of Bank Fees
Receipt For:	Aggregate Year-to-Date ▼	
Primary General		
Other (specify) ▼	1578.9	96
Full Name (Last, First, Middle Initial) B.		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	Amount of Each receipt this remod
Name of Employer	Occupation	Memo Item
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼	
Full Name (Last, First, Middle Initial)		Date of Receipt
Mailing Address		M = M / D = D / Y = Y = Y
City	State Zip Code	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	
Name of Employer	Occupation	Memo Item
Receipt For:	Aggregate Year-to-Date ▼	
Primary General	33 13 14 14 14 14	-
Other (specify) ▼	7 7 7	
SUBTOTAL of Receipts This Page (options	al)	166.43
TOTAL This Period (last nage this line nur		166.43

TOTAL This Period (last page this line number only).....

SCHEDULE B (FEC Form 3X)	Use separate schedule((a) I	FOR LINE NUMBER: PAGE 24 OF (check only one)			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	9 (0110011 01		24 25 26 28c 29 30l		
Any information copied from such Reports and Statem or for commercial purposes, other than using the name						
NAME OF COMMITTEE (In Full) Securities Industry and Financial M						
Full Name (Last, First, Middle Initial)			Date of Disburs	ement		
A. PNC Bank			Date of Disburs			
Mailing Address 1400 K Street, NW			06	2016		
Washington	State Zip Code DC 20005		Transaction IE): F16DA288CE98EAEAB60		
Purpose of Disbursement Bank Fees		001	Amount of Each	Disbursement this Period		
Candidate Name		Category/		157.74		
	nent For: Primary General Other (specify)	Type	Memo Item	7		
State: District:						
B. PNC Bank Mailing Address 1400 K Street, NW			Date of Disburs			
			OU .	2010		
City S Washington	State Zip Code DC 20005		Transaction II): 4C1A9E2049F8C2A047E		
Purpose of Disbursement Credit Card Fee		001	Amount of Each	Disbursement this Period		
Candidate Name		Category/ Type		0.74		
	nent For: Primary General Other (specify) ▼		Memo Item			
Full Name (Last, First, Middle Initial) C. PNC Bank			Date of Disburs			
Mailing Address 1400 K Street, NW				2016		
•	State Zip Code DC 20005		Transaction II	D: 36EC68FE667414E7295		
Credit Card Fee Candidate Name		001 Category/	Amount of Each	Disbursement this Period		
000		Type		7.95		
Office Sought: House Disbursen Senate President State: District:	nent For: Primary ☐ General Other (specify) ▼		Memo Item			
SUBTOTAL of Disbursements This Page (optional)				166.43		
TOTAL This Period (last page this line number only)				166.43		

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 25 OF 30
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 🗙 23 24 25 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)	addicate of any points	55.7	communication and confidence.
Securities Industry and Financial M	larkets Association I	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
A. Brave PAC			Date of Disbursement
Mailing Address 499 S. Capitol St, SW Suite 422			06 06 2016
City	State Zip Code		Transaction ID : DAE3457831FE4466014
Washington	DC 20003		Transaction ID . DAE343703TI E4400014
Purpose of Disbursement 2016 Contribution		011	Amount of Each Disbursement this Period
Candidate Name Brave PAC		Category/ Type	1000.00
Office Sought: House Disbursen	nent For: 2016		Memo Item
	Primary General		_
State: District:	Other (specify) Contribution		
Full Name (Last, First, Middle Initial)	Contribution		
B. David Scott for Congress			Date of Disbursement
M 111 A 11			M = M / D = D / Y = Y = Y
Mailing Address PO Box 960821			06 03 2016
•	State Zip Code GA 30296		Transaction ID : D3ABDD2E2312C08F13A
Purpose of Disbursement 2016 General		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
David Albert Scott		Type	1500.00
Senate	nent For: 2016 Primary General Other (specify)		Memo Item
State: GA District: 13			
Full Name (Last, First, Middle Initial)			
Emmer for Congress			Date of Disbursement
Mailing Address PO Box 998			06 21 7 2016
City	State Zip Code		
Anoka	MN 55303		Transaction ID: 3DFDD6736188FAE9FF2
Purpose of Disbursement 2016 Primary		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Thomas Earl Emmer Jr.		Type	1000.00
Senate	nent For: 2016 Primary General		Memo Item
State: MN District: 06	Other (specify) ▼		
State: MN District: 06			
SUBTOTAL of Disbursements This Page (optional)			3500.00
ODDITINE OF DISDUISEMENTS THIS FAGE (OPHONAI)		·····•	
TOTAL This Period (last nage this line number only)			

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 26 OF 30
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 🗙 23 24 25 26
		27	28a 28b 28c 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)	The state of the s		
Securities Industry and Financial M	larkets Association F	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			
4. Fearless PAC			Date of Disbursement
Mailing Address PO Box 37			06 01 2016
,	State Zip Code		Transaction ID : A726BB75348C0803D2D
	CO 80306		1141154CUOII ID . A1200013340CU0U3D2D
Purpose of Disbursement 2016 Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	1000.00
Fearless PAC		Type	1000.00
	nent For: 2016		Memo Item
	Primary General Other (specify) ▼		
State: District:	Contribution		
Full Name (Last, First, Middle Initial)	-		
3. Filemon Vela for Congress			Date of Disbursement
Mailing Address 10715 Gulfdale St Ste 235			06 03 2016
City S San Antonio	State Zip Code TX 78216		Transaction ID: 467281CB9EEFF40C3C9
Purpose of Disbursement 2016 General		011	Amount of Each Disbursement this Period
Candidate Name			Amount of Each Dispulsement this Fellou
Filemon Bartolome Vela Jr.		Category/ Type	1000.00
	nent For: 2016		Memo Item
	Primary		_
	Other (specify) ▼		
State: TX District: 34			
Full Name (Last, First, Middle Initial) Freedom Fund			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 701 8th Street, NW Suite 500			06 06 2016
	State Zip Code		Transaction ID : 6BD9A050ED4885C81A8
	DC 20001		Halisaction ID: 0DD9A030ED4883C81A8
Purpose of Disbursement 2016 Contribution		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Freedom Fund		Type	2500.00
	nent For: 2016		Memo Item
	Other (specify) —		_
State: District:	Other (specify) ▼ Contribution		
	Continuation		
SUBTOTAL of Disbursements This Page (optional)			4500.00
·			
TOTAL This Period (last nage this line number only)			

TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 27	
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full)		d by any perso	on for the purpose of soliciting contributions
Securities Industry and Financial M	arkets Association F	Political Ac	tion Committee
Full Name (Last, First, Middle Initial) A. Friends of Pat Toomey Mailing Address 228 S. Washington St., Suite 115			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code		Transaction ID - 075552355C4 A 44000 A 4
Purpose of Disbursement	VA 22314		Transaction ID: 97E5E33EEC1AA1009A4
2016 General Candidate Name Patrick Joseph Toomey		011 Category/ Type	Amount of Each Disbursement this Period
X Senate	nent For: 2016 Primary General Other (specify)		Memo Item
Full Name (Last, First, Middle Initial) 3. Lucas for Congress			Date of Disbursement
Mailing Address PO Box 1726			06 10 2016
,	State Zip Code OK 73101-1726	011	Transaction ID : 39C10660F11D227C436 Amount of Each Disbursement this Period
Senate	nent For: 2016 Primary General Other (specify) ▼	Category/ Type	3000.00 Memo Item
State: OK District: 03	Canon (opeony) •		
Full Name (Last, First, Middle Initial) Luke Messer for Congress			Date of Disbursement
Mailing Address PO Box 917			06 27 2016
City S Shelbyville Purpose of Disbursement	State Zip Code IN 46176		Transaction ID : 1D7F1B4CB6206DF813E
2016 General Candidate Name		011 Category/	Amount of Each Disbursement this Period
Senate	nent For: 2016 Primary General Other (specify)	Туре	2000.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)			6000.00
TOTAL This Period (last page this line number only).		······	

	MIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b	
	nformation copied from such Reports and Statem commercial purposes, other than using the name		sed by any perso	on for the purpose of soliciting contributions
\rangle s	AME OF COMMITTEE (In Full) ecurities Industry and Financial M	arkets Association	Political Ac	tion Committee
4. M	Il Name (Last, First, Middle Initial) 1cHenry for Congress			Date of Disbursement
_	ailing Address PO Box 2165			06 20 2016
	astonia	State Zip Code NC 28053-2165		Transaction ID : 0D2195CE24D04796412
20	rpose of Disbursement 016 General undidate Name		011	Amount of Each Disbursement this Period
	atrick Timothy McHenry		Category/ Type	2000.00
Off	fice Sought: House Disbursen	nent For: 2016 Primary General Other (specify)		Memo Item
	Il Name (Last, First, Middle Initial)			
3. M	like Bost for Congress Committee	,		Date of Disbursement
Ma	ailing Address PO Box 1212			06 03 2016
Cit Mu	ty urphysboro	State Zip Code IL 62966		Transaction ID : D124DBCE0F368F65AB2
	rpose of Disbursement 016 General		011	Amount of Each Disbursement this Period
	indidate Name		Category/	2000.00
	lichael J. Bost fice Sought:	nent For: 2016	Туре	Memo Item
	Senate	Primary		Memo item
	Il Name (Last, First, Middle Initial) like Crapo for US Senate			Date of Disbursement
Ma	ailing Address PO Box 1948			06 03 2016
Cit	ty S	State Zip Code ID 83701		Transaction ID : C7C09FFAD5C37F67222
Pu	rpose of Disbursement 016 General		011	Amount of Each Disbursement this Period
Ca	andidate Name		Category/	Amount of Each Disbursement this Period 2500.00
N.		ļ		2000.00
	lichael Dean Crapo	nent For: 2016	Туре	Memo Item
Off	Aichael Dean Crapo fice Sought: House Senate President Disbursen	nent For: 2016 Primary General Other (specify)	Type	Memo Item
Off	Michael Dean Crapo fice Sought: House Disbursen Senate	Primary	Type	
Sta SUB	Aichael Dean Crapo fice Sought: House Senate President Disbursen	Primary	·····•	Memo Item 6500.00

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE N (check only 21b 27	
Any information copied from such Reports and Statem or for commercial purposes, other than using the name NAME OF COMMITTEE (In Full) Securities Industry and Financial M	ne and address of any politica	d by any perso al committee to	on for the purpose of soliciting contributions solicit contributions from such committee.
Full Name (Last, First, Middle Initial) A. Randy Hultgren for Congress Mailing Address PO Box 717			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	State Zip Code IL 60174-0717		Transaction ID : C59697E84347BC90218
20 ['] 16 General Candidate Name Randall Mark Hultgren	nent For: 2016	O11 Category/ Type	Amount of Each Disbursement this Period 2000.00 Memo Item
State: IL District: 14 Full Name (Last, First, Middle Initial)	Primary ⊠ General Other (specify) ▼		
B. Roger Williams for U S Congress C Mailing Address PO Box 91061			Date of Disbursement M M M / D D / Y D Y D Y D Y D Y D Y D Y D Y D
Austin Purpose of Disbursement 2016 General Candidate Name Roger Williams Office Sought: House Senate Disbursem	State Zip Code TX 78709-1061 Thent For: 2016 Primary General Other (specify) The Code TX Table To Table	011 Category/ Type	Amount of Each Disbursement this Period 1000.00 Memo Item
Full Name (Last, First, Middle Initial) C. Stivers for Congress Mailing Address 4679 Winterset Dr			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
•	State Zip Code OH 43220-8113	011	Transaction ID : CE6C0FDD12C62E69E4 Amount of Each Disbursement this Period
Senate	nent For: 2016 Primary General Other (specify)	Category/ Type	2500.00 Memo Item
SUBTOTAL of Disbursements This Page (optional)			5500.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)	Hoo concrete astrodute/	FOR LINE	NUMBER: PAGE 30 OF 30
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 27	22 X 23 24 25 26 28a 28b 28c 29 30b
Any information copied from such Reports and Statem	I nents may not be sold or use		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)		S 11.7	
igwedge Securities Industry and Financial M	larkets Association F	Political Ac	tion Committee
Full Name (Last, First, Middle Initial)			B
A. Tim Scott for Senate			Date of Disbursement
Mailing Address 1405 Ashley River Rd			06 03 2016
	State Zip Code		Transaction ID : BEC025120EDDCBCE582
Charleston Purpose of Disbursement	SC 29407-5305		
Purpose of Disbursement 2016 General		011	Amount of Each Disbursement this Period
Candidate Name		Category/	500.00
Timothy Eugene Scott	aont Form Code	Type	
	nent For: 2016 Primary X General		Memo Item
	Other (specify) ▼		
State: SC District:	·		
Full Name (Last, First, Middle Initial)			Data of Disharana
3. Tim Scott for Senate			Date of Disbursement
Mailing Address 1405 Ashley River Rd			06 03 2016
,	State Zip Code		Transaction ID: 5CB17B6E53D1F31099D
Charleston Purpose of Disbursement	SC 29407-5305		
2016 Primary		011	Amount of Each Disbursement this Period
Candidate Name		Category/	2000.00
Timothy Eugene Scott Office Sought: House Disbursen	nont For: 0040	Type	
	nent For: 2016 Primary General		Memo Item
	Other (specify) ▼		
State: SC District:			
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Yoder for Congress, Inc			M M / D D / Y Y Y Y
Mailing Address PO Box 26742			06 27 2016
	State Zip Code		Transaction ID: 3B589464A6AF9CDBBBD
Overland Park Purpose of Disbursement	KS 66225-6742		
2016 General		011	Amount of Each Disbursement this Period
Candidate Name		Category/	
Kevin Wayne Yoder	aont Fore Core	Туре	500.00
	nent For: 2016 Primary		Memo Item
	Other (specify) ▼		
State: KS District: 03			
OUDTOTAL (CO)			3000.00
SUBTOTAL of Disbursements This Page (optional)		······	3000.00
TOTAL This Period (last page this line number only)			29000.00